

NOTICE

Capital Markets Measures To Mitigate The Spread of Covid-19 Pandemic

In an effort to mitigate the transmission of Covid-19 (Coronavirus), the Securities and Exchange Commission has taken measures to prioritise the health and safety of our investing public, staff, Capital Markets Operators and other stakeholders. Please take note of the following measures that have been put in place to ensure adherence to the advice and directives of the World Health Organisation, Ministry of Health and Cabinet Office whilst ensuring that the Zambian capital markets remain fully functional:

1. Temporary suspension of physical meetings with Commission staff and/or physical delivery of documentation

You can contact the Commission during normal working hours from 08:00 hours to 17:00 hours on the following:

Telephone:

0211-227012/ 0211-222431/ 0211-222368/ 0211-222369

Email:

info@seczambia.org.zm or pa@seczambia.org.zm.

Capital Markets Operators should further note that the following emails will continue to be functional for the various types of submissions:

| | TYPE OF SUBMISSION | EMAIL |
|------------|--|------------------------------|
| a) | Draft market publications and approval of announcements | approvals@seczambia.org.zm |
| b) | Returns, license applications, etc. | supervision@seczambia.org.zm |
| c) | Comments on proposed legislation | rules@seczambia.org.zm |
| d) | Disclosures and notifications not requiring SEC approval | disclosures@seczambia.org.zm |
| e) | Bond returns by dealers | bonds@seczambia.org.zm |



Whatsapp:

0961 424 808 [Strictly for matters relating to the Possession of Madison Assets Management Company]

2. Postponement of holding or use of electronic means to hold Annual General Meetings by listed companies and other entities with registered securities under the Securities Act

The Commission issued Circular No.2 of 2020–"Guidance On Postponement Of Annual General Meetings Due To Health Concerns Over The Covid-19 Pandemic". The Circular was issued on 18th March 2020 and can be accessed from the Commission's website (www.seczambia.org.zm)

3. Implementation of specific market interventions to reinforce the Zambian Capital Markets' response to mitigating the spread of Covid-19 pandemic.

The Commission has issued Circular No.3 of 2020– "Guidance On Implementing Specific Capital Markets Business Continuity Measures In the Wake of Covid-19 Pandemic". The Circular was issued on 1st April 2020 and can be accessed from the website (www.seczambia.org.zm)

The Commission hereby advises the public to adhere to social distancing practices and other expert advice aimed at everyone's safety.

Date: 1st April 2020

Issued by:

PHILLIP K. CHITALU

CHIEF EXECUTIVE OFFICER